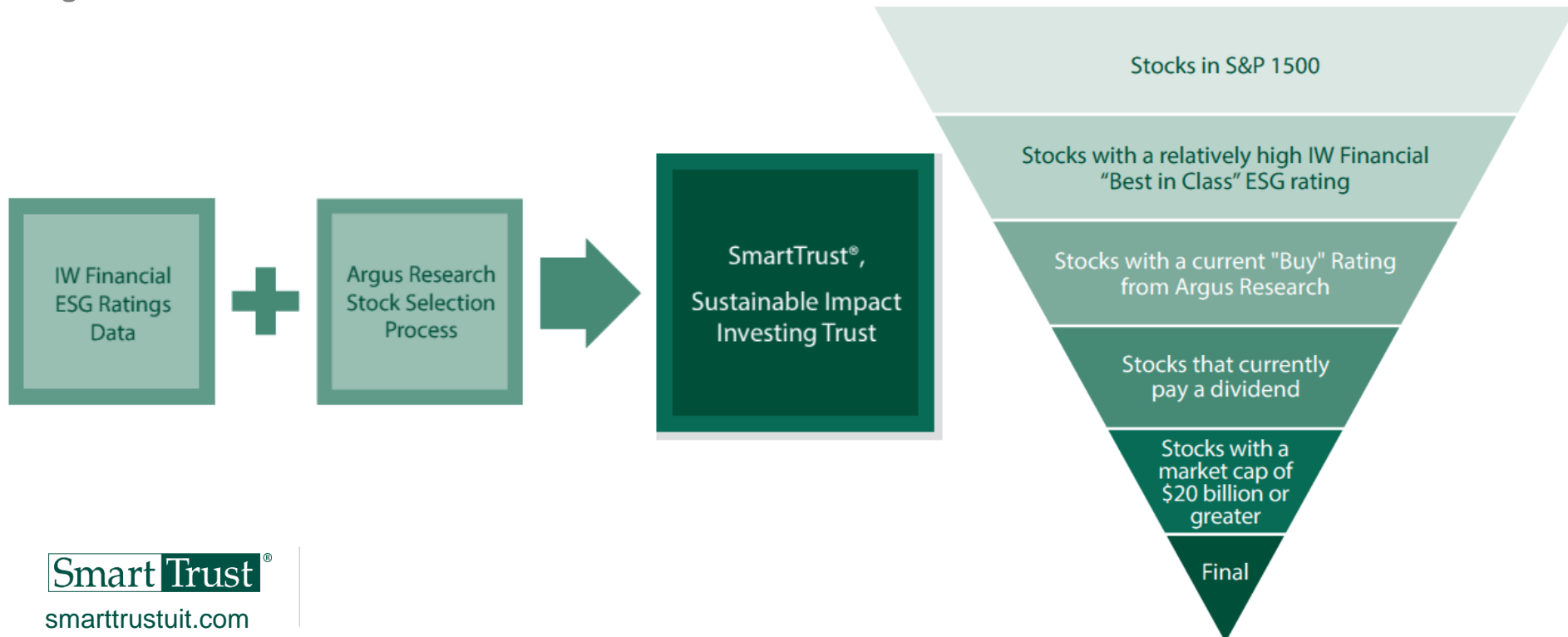


Overview of the SmartTrust[®] Strategy

SmartTrust[®] combines the research and technology solutions, provided by IW Financial, that help financial professionals evaluate the environmental, social, and governance performance of companies with the equity management and research expertise of Argus Research Company.

How the SmartTrust[®] Approach is Unique:

Many investment strategies in this space only focus on socially responsible investing. These strategies may include passive, one dimensional screens such as avoiding companies dealing in tobacco and firearms. Utilizing IW Financials research and “Best in Class ” ratings methodology that looks at 60* points of distinct criteria, SmartTrust[®] strategy digs deeper to incorporate a more comprehensive SRI/ESG portfolio **while introducing a performance element using Argus Research.**



IW Financial ESG Screens

IW Financials comprehensive ESG screens include, but are not limited to:

Auditing & Accounting

Financial expert on audit committee

Disclosed percentage of audit fees

Restatement of financials

Board Accountability

Board responsibility for the human rights policy

Board responsibility for the environmental policy

Board responsibility for the climate change policy

Board Composition

Tenured directors

Directors over age 70

Directors that are active CEOs

Absentee Directors (attended less than 75% of meetings)

Directors on more than 4 boards

Board Independence

Percentage independent board members

Independent audit committee

Independent compensation committee

Independent governance committee

Independent nominating committee

CEO Compensation

Incentive pay as a percentage of total

Climate Change

Climate change disclosure

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Climate change policy

Direct GHG emissions

Direct GHG emissions per revenue

Indirect GHG emissions

Indirect GHG emissions per revenue

Community & Politics

Community involvement policies and programs

Political policies and disclosure

Predatory lending

Community Reinvestment Act rating

Diversity

Senior management percentage of woman

Senior management percentage of minorities

Diversity disclosure

Percentage of females on the Board of Directors

Environmental Management

Environmental disclosure

Environmental policy

ISO 14001 environmental management systems

Environmental Performance

Toxic emissions

Toxic emissions per revenue

Toxic emissions trend

Toxic production waste

Toxic production waste per revenue

Toxic production waste trend

Chemical or oil spills

Chemical or oil spills per revenue

Chemical or oil spills trend

Environmental fines

Environmental fines per revenue

Environmental fines trend

Energy use

Energy use per revenue

Water use

Water use per revenue

Human Rights

Oppressive regime (weighted exposure)

Human rights disclosure

Human rights policy

Recognition

Diversity recognition

Workplace recognition

Sexual Orientation

Non-discrimination policy

Workforce

Strikes or labor actions

Workforce disclosure/policy

Employee benefits

EEOC disciplinary action

DISCLAIMER

Investors should consider the Trust's Investment objective, risks, charges and expenses carefully before investing. The prospectus contains this and other information relevant to the Trust. Please advise your clients to read the prospectus carefully before they invest. If a prospectus did not accompany this literature please contact your broker or Hennion & Walsh at (888-505-2872) to obtain a free prospectus. You can also view the prospectus on our website at WWW.SMARTTRUSTUIT.COM

Units of the Trust will fluctuate based on the value of the underlying securities held by the Trust.

This report is based on information generally available to the public from sources believed to be reliable. No representation is made that the information is accurate or complete. We recognize that each client's investment needs and goals are different. Therefore, this report should not be construed as an offer to sell, or a solicitation of an offer to buy, the securities or instruments mentioned. Additional information is available upon request

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